



IFS Center for Educational Excellence

Training and Developing Workers for the Insurance and Financial Services Industry

NASD (National Association of Securities Dealers) Series 7 Exam Prep

Description

NASD Series 7 Exam Prep (General Securities Representative) prepares students to pass the actual NASD Series 7 Exam as administered by the National Association of Securities Dealers (NASD).

Duration 96 hours Tuesdays & Thursdays, 8:30 am -12:30 pm, September 27 – December 20, 2007
Tuesdays & Thursdays, 5:30 pm- 9:30 pm, September 25 – December 18, 2007

Course Content

- Municipal Securities (Primary and Secondary Markets); MSRB Rules
- Options (Strategies and Product Knowledge); Margin (Regulations, Formulas and Computations)
- New York Stock Exchange (Types of Orders, Floor Procedures, and Tape Reading)
- Customer Accounts (Opening and Maintaining Customer Accounts)
- Federal Regulations (Securities Acts, NASD Rules); U.S. Government Securities (Treasury/Agency Securities)
- Direct Participation Programs (Formation, Types and Regulation)
- Corporate Securities (Common and Preferred Stock, Corporate Bonds)
- Packaged Securities (Mutual Funds, Variable Annuities, and REITs)
- Securities and Economic Analysis (Technical and Fundamental Analysis, Economics)
- Taxation and Retirement Plans (Taxation of Securities, Qualified and Non-Qualified Plans)
- **Test Taking Strategies & Techniques with *Extensive Practice Taking Simulated Tests***

Learning Outcomes

After completing this course, participants will be able to:

- Score at least 70% on the course final and the actual NASD Series 7 Exam as administered by the National Association of Securities Dealers (NASD).

Methodology

Instructor-led training classroom environment with interactive exercises that help ensure student success

Materials

Each participant will receive a NASD Series 7 Study Manual and a Book of Final Examinations

Pre-Requisites:

High School Diploma or GED. Must be at least 18 years old. Prefer at least 1 year of experience in the financial services industry with no prior felony convictions

Assessment (pre and post):

- At the beginning of the first session, participants will be given a pre-test to determine their subject knowledge
- At the completion of the program, students will take a course final exam which will simulate the actual Series 7 Exam of 250 questions. Students are expected to score at least 70% on the course final exam and will be allowed 360 minutes (6 hours) to complete this test.